



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/986,426	11/08/2001	Randal J. Kirk	

CONFIRMATION NO. 5236

## FORMALITIES LETTER



\*OC000000007118072\*

Hunton & Williams  
Suite 1200  
1900 K Street, NW  
Washington, DC 20006

Date Mailed: 11/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

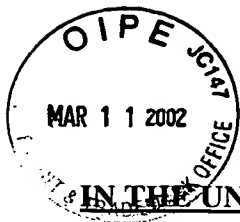
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/15/2002 BABRAWA1 00000063 09986426

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65.00 DP



PATENT  
ATTORNEY DOCKET: 54719.000011

Section #

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
)  
Randal J. KIRK et al. ) Group Art Unit: 1651  
)  
Application Number: 09/986,426 ) Examiner: To Be Assigned  
)  
Filed: November 8, 2001 )  
)  
For: USE OF PROTEIN CONFORMATION FOR THE PROTECTION AND RELEASE  
OF CHEMICAL COMPOUNDS

**TRANSMITTAL LETTER**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following are enclosed for consideration in the above-identified application:

					FEE
<input type="checkbox"/>	Statutory Basic Filing Fee				\$
<input checked="" type="checkbox"/>	Surcharge for late filing application filing fees				\$ 65.00
<input checked="" type="checkbox"/>	Petition for Extension of Time of two (2) months				\$200.00
<input type="checkbox"/>	Written Assertion of Small Entity Status				\$
<input checked="" type="checkbox"/>	Response to Notice to File Missing Parts of Nonprovisional Application with Part 2-PTO Formalities Letter				
<input type="checkbox"/>	Response to Notification of Defective Response Submission of Sequence Listing and Statement Under 37 C.F.R. § 1.821, with copy of Notification				
<input type="checkbox"/>	Change of Attorney's Address in Application				
<input checked="" type="checkbox"/>	Executed Declaration for Utility or Design Patent Application (3 pages)				
<input type="checkbox"/>	Sequence Listing (paper copy and disk)				
<input checked="" type="checkbox"/>	Copy of Granted Petition for Revival of PCT/US00/05693 (09/959,396)				
	Claims Remaining	Claims Paid For	Extra	Rate	Fee
Total Claims	0	20	0	x \$18.00	\$
Independent Claims	0	3	0	x \$80.00	\$ .00
Multiple Dependent Claims (if applicable)				\$ 270.00	\$ .00
<b>TOTAL EXCESS CLAIMS FEE</b>					<b>\$ .00</b>
<b>TOTAL FEES BEING SUBMITTED</b>					<b>\$265.00</b>

03/15/2002 BABRAM1-00000062 09986426

01 FC:216

200.00-69

#3

The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and § 1.17 associated with this communication or credit any overpayment to the deposit account of Hunton & Williams, Deposit Account Number 50-0206.

Dated: 3/14/02

Respectfully submitted,

By: Robert M. Schulman

Robert M. Schulman  
Registration No. 31,196

HUNTON & WILLIAMS  
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Attorney Docket No. 54719.000011  
Application Serial No. 09/986,426

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of :	)	
	)	
Randal J. KIRK, et al.	)	Group Art Unit: 1651
	)	
Serial No.: 09/986,426	)	
	)	Examiner: To Be Assigned
Filed: November 8, 2001	)	
	)	
For: USE OF PROTEIN CONFORMATION FOR THE PROTECTION AND RELEASE OF CHEMICAL COMPOUNDS	)	

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION**

Attention: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Responsive to the attached U.S. Patent and Trademark Office "Notice to File Missing Parts of Nonprovisional Application" mailed November 26, 2001, Applicants are submitting herewith a copy of the Notice to File Missing Parts, and Executed Joint Declaration for Patent Application and Power of Attorney. A check in the amount of \$65.00 (covering the Declaration surcharge of \$65.00) and a check in the amount of \$200.00 (covering the two month extension of time) is attached. Additionally, the application filed on October 24, 2001 has since been assigned application number 09/959,396. Please make the appropriate changes when recording the priority for the present application. Notification of the serial number was not received until February 21, 2002, after the execution of the present declaration. Additionally, please find the attached copy of the GRANTED decision of the applicant's petition under 37 CFR 1.137(b) for the revival related to application number 09/593,396.

In the event any variance exists between the amount enclosed and the PTO charges, please charge or credit any difference to Deposit Account No. 50-0206. Entry of this

document should complete the filing formalities, and fully satisfy the Notice mailed November 26, 2001.

Dated: 3/11/02

Respectfully submitted,

By: Robert M. Schulman  
Robert M. Schulman  
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